

Form PTO 1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	ATTY. DOCKET NUMBER ASAM.0013	SERIAL NUMBER To Be Assigned
	APPLICANT Yamamoto et al.	
	FILING DATE Concurrently Herewith	GROUP

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U.S. Patent Documents

Examiner Initial	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
KS	5,963,731	10/5/99	Sagawa et al.			12/24/96

Foreign Patent Documents

Examiner Initial		DOCUMENT NUMBER	FILING DATE	COUNTRY	CLAS S	SUB- CLASS	TRANSLATION	
							YES	No

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)

KS	H. Konno, Measurement and Management of Market Risk/Credit Risk using mathematical programming, Nov. 2000, pp. 6-7

EXAMINER <i>Kevin Schubert</i>	DATE CONSIDERED <i>4/6/05</i>
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	